

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

: Phelps et al.

Serial No.

: 10/625,915

Filed

: July 23, 2003

Title

: NON-TOXIC CORROSION-PROTECTION CONVERSION COATS

**BASED ON RARE EARTH ELEMENTS** 

Docket

: UVD 0280 IA/UD 268

Examiner

: Lois L. Zheng

Art Unit

: 1742 Confirm. No.: 3052 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box

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MAIL STOP AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 USC §§102 and 103, and Applicants reserve the right, pursuant to 37 CFR §1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

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Serial No. 10/625,915 Docket UVD 0280 IA/UD 268

The Office has waived the requirement pursuant to 37 CFR §1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC §371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

The Supplemental Information Disclosure Statement is filed after the period set forth in §1.97(b), but before the mailing date of a final action under §1.113 or a notice of allowance, whichever occurs first.

The Supplemental Information Disclosure Statement is accompanied by a check in the amount of \$ 180.00 in payment of the required fee set forth in 37 CFR §1.17(p).

Respectfully submitted, DINSMORE & SHOHL LLP

By

Patricia L. Prior

Registration No. 33,758

ilia & Prior

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Encl.

PTO/SB/08A (08-03)
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Coi	mplete if Known	
Application Number	10/625,915	
Filing Date	July 23, 2003	-
First Named Inventor	Andrew W. Phelps	
Art Unit	1742	
Examiner Name	Lois L. Zheng	
Attorney Docket Number	UVD 0280 IA/UD 268	

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,330,588	07-19-1994	Gulley	
	<u> </u>	<sup>US-</sup> 6,074,464	06-13-2000	Eddinger et al.	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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es pursuant to the Consolid	dated Appropria	ations Act, 2005 (H.R. 48	A	oplication Number	10/625,9		
	ANS	SMITTAL	<u> </u>	ing Date	July 23, 2		
	r FY 2			rst Named Inventor	Andrew	W. Phelps	
				raminer Name	Lois L. Z	heng	
Applicant claims smal	l entity status	. See 37 CFR 1.27		t Unit	1742		
TOTAL AMOUNT OF PAY	MENT (\$)	180.00	-	torney Docket No.	UVD 028	30 IA/UD 268	
METHOD OF PAYMEN	T (check all	that apply)					
<u> </u>		Money Order					
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FEE CALCULATION							
1. BASIC FILING, SEAI	FILING	EXAMINATION FE FEES S Small Entity	EARCH	FEES EX	AMINATIO Smal	N FEES I Entity	
Application Type	Fee (\$)	Fee (\$) Fe	ee (\$)	Fee (\$)	e (\$) Fe	e (\$)	Fees Paid (\$)
Utility	300		500		_	00	
Design	200		00			65	<del></del>
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Provisional  2. EXCESS CLAIM FEE  Fee Description  Each claim over 20 or, for		100	0	0	0	o .	Small Entity   Fee (\$)   50   25
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3. APPLICATION SIZE If the specification and for each additional Total Sheets	FEE I drawings of 50 sheets of Extra Sheet	exceed 100 sheets of fraction thereof. Sets  Number of 100 sheets  Number of 100 sheets	of paper, See 35 I feach a	J.S.C. 41(a)(1)(C dditional 50 or frac ound up to a whole	i) and 37 C tion thereo	CFR 1.16(s).  f Fee (\$)	25 for small entity)  Fee Paid (\$)  Fees Paid (\$)
Other: Supplemental		•	-	,			180.00
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SUBMITTED BY			
Signature	Gatricia Priva	Registration No. (Attorney/Agent) 33,758	Telephone (937) 449-6400
Name (Print/Typ	pe) Patricia L. Prior		Date 02/16/2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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